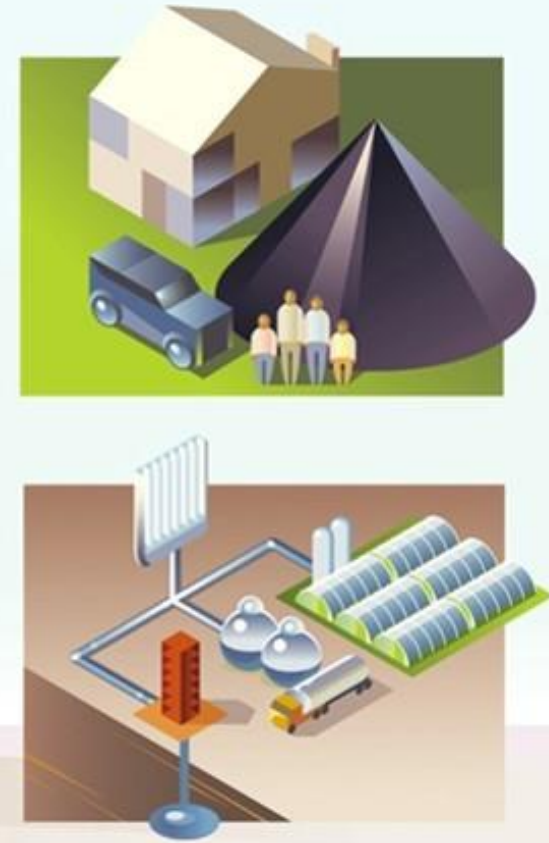
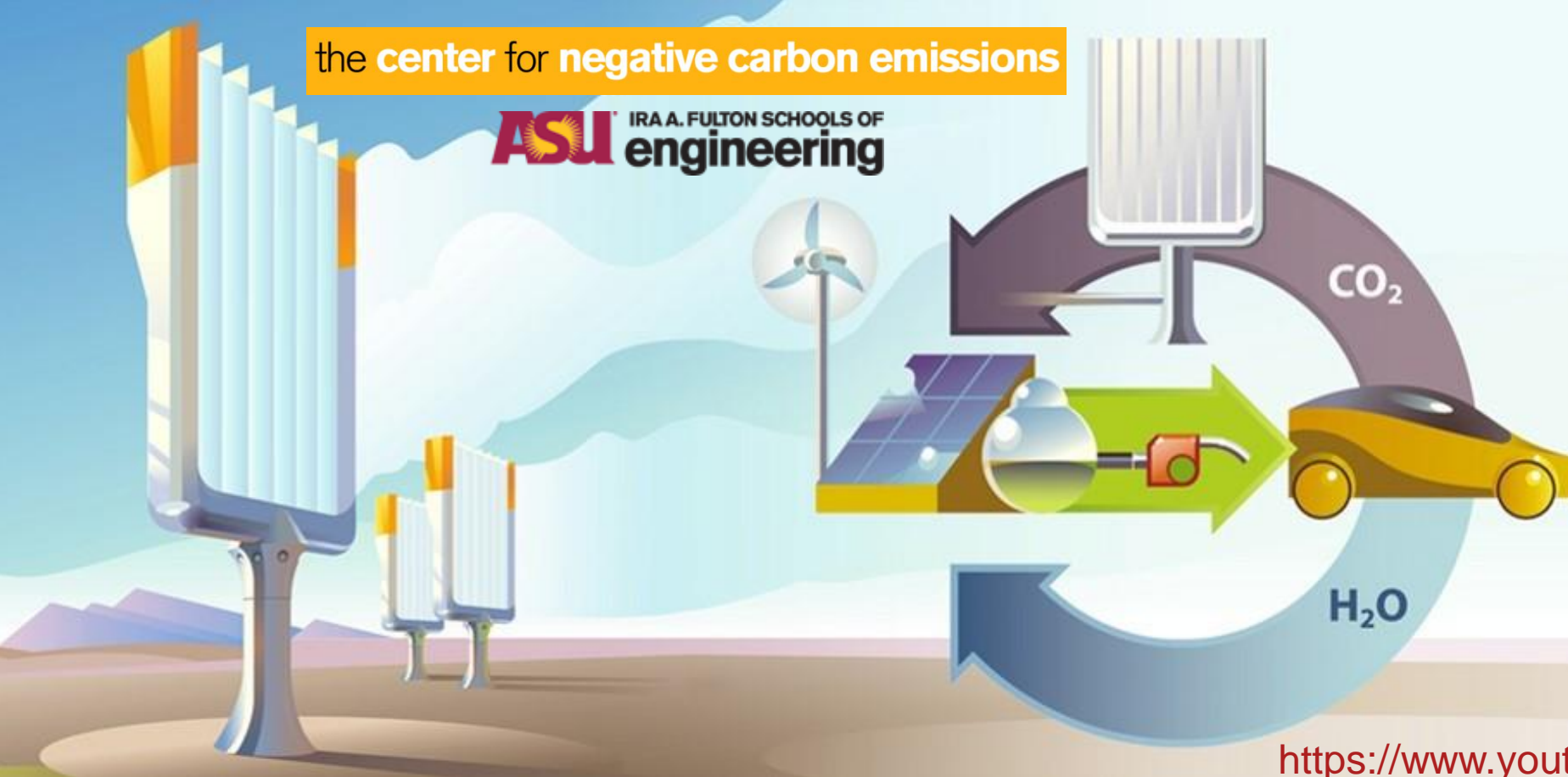


the center for negative carbon emissions

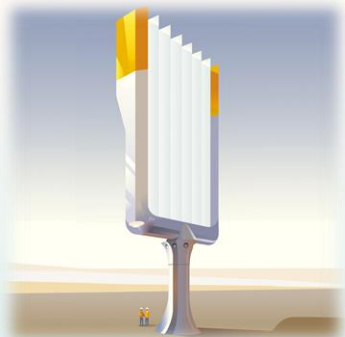
**ASU** IRA A. FULTON SCHOOLS OF  
**engineering**



<https://www.youtube.com/watch?v=4dHjCjrYcfs>

# Direct Air Capture as a Tool for Carbon Management

Klaus S Lackner  
May 3, 2017



# Carbon dioxide piles up like garbage

- Carbon dioxide emissions stay in the atmosphere for centuries
- Warming from carbon dioxide lasts for a millennium
- Excess carbon acidifies the ocean for millennia

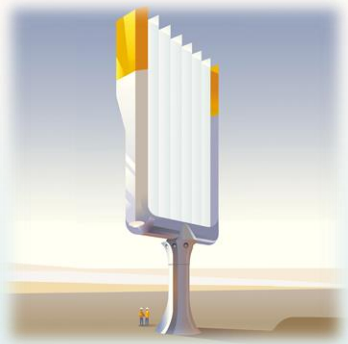
Moving to a waste management paradigm represents a big shift in dealing with CO<sub>2</sub>

Reduce, Reuse, Recycle + DISPOSAL

Cost of disposal motivates Reuse



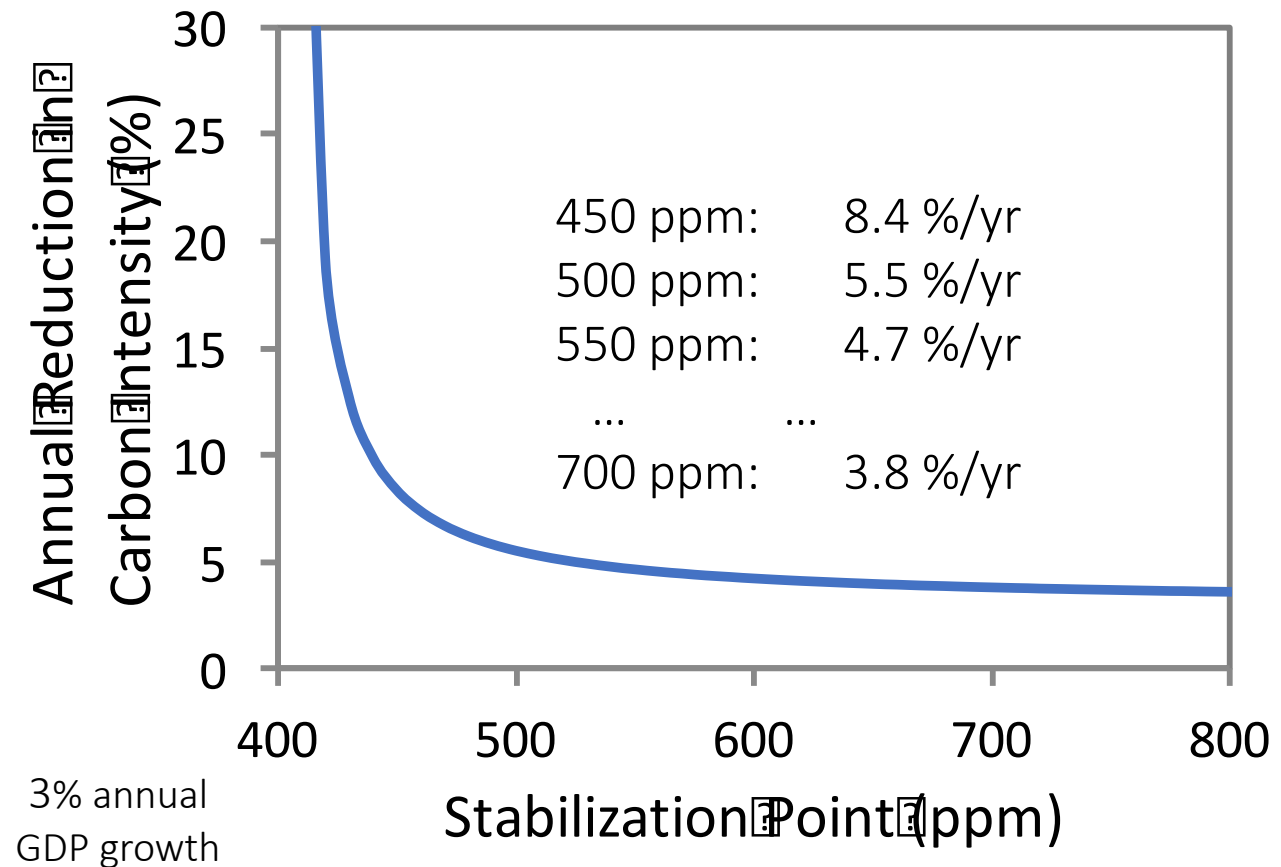
Need to convince people and corporations to clean up their CO<sub>2</sub> garbage  
Create a movement like recycling

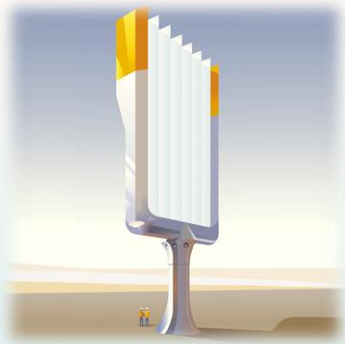


# Simple Carbon Math

- 1 ppm = 7.5 Gt CO<sub>2</sub> in the air
- CO<sub>2</sub> spreads to ocean and biosphere
  - *On the century scale half moves out*
- 1ppm = 15 Gt CO<sub>2</sub> emissions
- Current level of CO<sub>2</sub> in the air:  
405 ppm – or 450 ppm<sub>e</sub>
- 2°C warming: 450 ppm(e?)
- Rate of increase 2.5 ppm/year
  - *Driven by ~35-40 Gt CO<sub>2</sub> per year*

Required annual carbon intensity reduction (C/GDP)  
depends on the targeted stabilization point





# The global carbon budget is heading into overdraft



Paris Agreement: hold warming below 1.5°C or at most 2°C

- *Promised emissions reductions will reach 4°C, business as usual more than 6°C*
- *Cannot stop anymore in time*

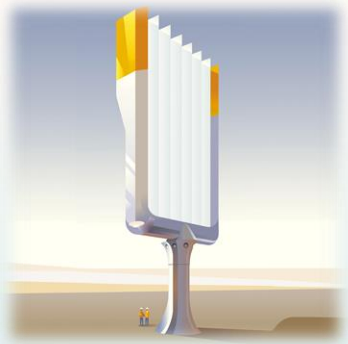
IPCC: need negative emissions

- *Pulling CO<sub>2</sub> back from the air*
- *Storing CO<sub>2</sub> safely and permanently*

Major business risk for investors  
Opportunity for leaders

The per capita fuel allotment for 2°C  
Must last for generations



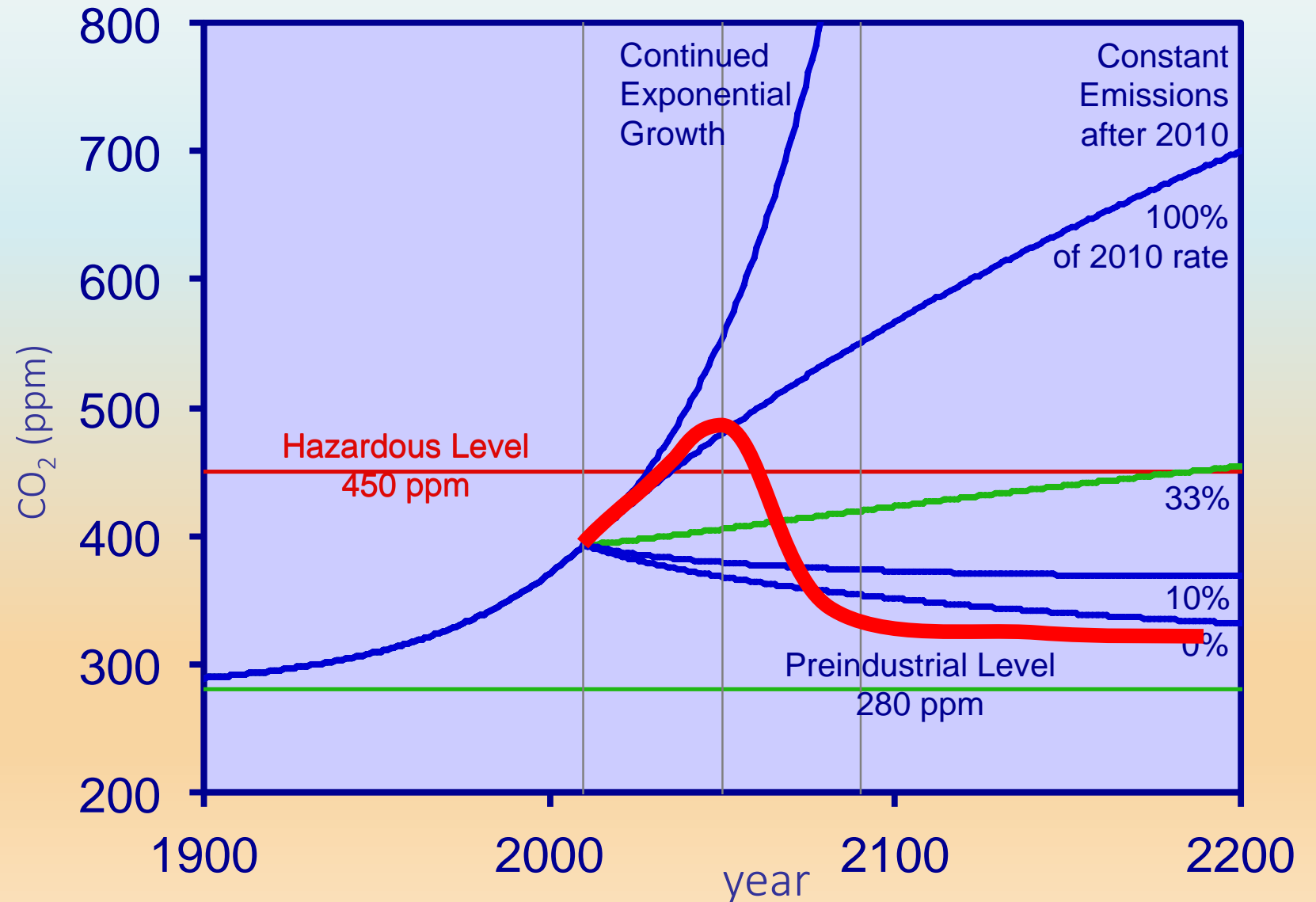


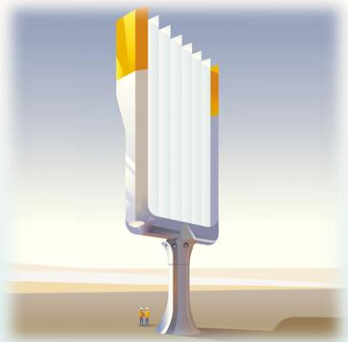
# IPCC calls for negative emissions

Need to recover  $\text{CO}_2$   
from the environment

Need storage capacity  
100 ppm – 1500 Gt  $\text{CO}_2$

More than all the  
emissions of the 20<sup>th</sup>  
century





# Build a Carbon Management Industry in 30 Years?

## Decarbonization

Energy efficiency  
Renewables  
Biomass

## Adaptation

Managing  
impacts of  
climate change

## Capture & Use

Transforming CO<sub>2</sub>  
into valuable  
products

## Capture & Storage

Restoring C-balance  
through long-term  
sequestration



Progress, but  
Not Fast Enough  
Not Large Enough



Increasingly  
Necessary



Market Driven  
Approach

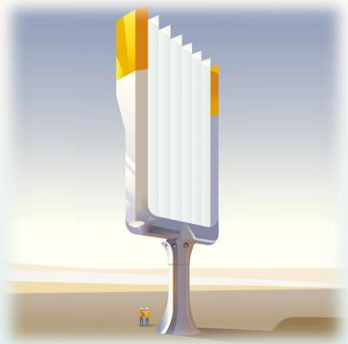
Pulling CO<sub>2</sub>  
back from  
the air



Waste  
Disposal  
Paradigm

**Before 2050:** For every ton of carbon dioxide released to the atmosphere another ton of carbon dioxide will have to be extracted

**After 2050:** Lower CO<sub>2</sub> content of the atmosphere with CO<sub>2</sub> scrubbers



# Technologies for Carbon Management

- **Carbon Storage**

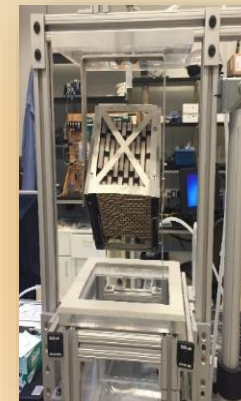
Disposal of excess carbon underground  
Established technology but not at scale

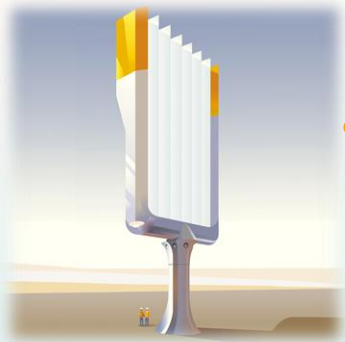
- **Fuel Synthesis**

Converting renewable energy into liquid fuels  
Based on proven technology, needs scaling

- **Direct Air Capture of Carbon Dioxide**

Novel technology we have introduced  
Needs demonstration and scaling





# Technology Gap: Direct Air Capture

**Need:** Closure of carbon cycle via Direct Air Capture (DAC)

- Only Direct air capture can scale to close the carbon cycle through the air

**Feasibility:** Technology works in submarines, but is still too expensive

- Costs imposed by physics are affordable  
*energy requirement is less than 5% of energy in carbon*
- Other technologies have solved more difficult extraction problems  
*Passive collectors can pull uranium out of seawater at reasonable cost (100,000 times more dilute than CO<sub>2</sub> in air)*

**Cost:** Design choices and learning by doing can drive cost down

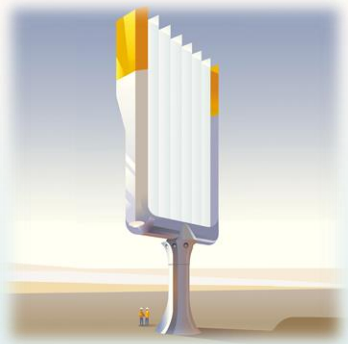
- Passive device standing in the wind like a windmill minimizes energy and capital costs
- Our moisture swing sorbents trade expensive energy for cheap water
- Mass manufacturing can drive cost down by huge factors



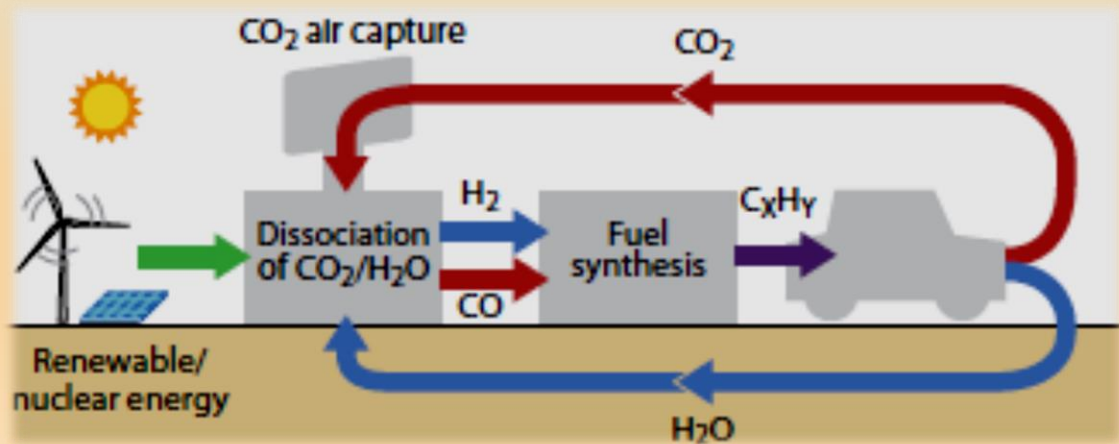
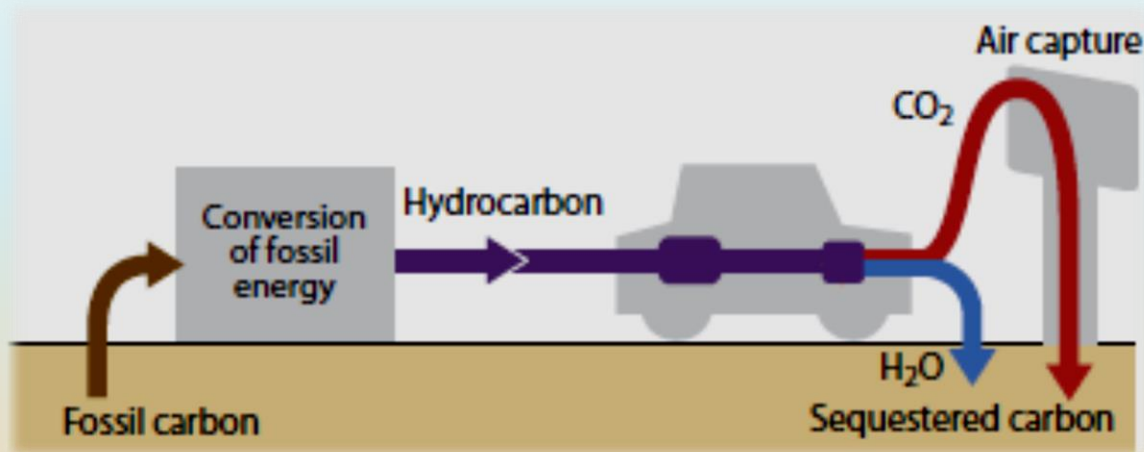
An air collector could capture 1000 times as much CO<sub>2</sub> as is avoided by an equally sized windmill.



Mass production can drastically reduce cost  
(photovoltaic panels, computers, cars)



# Air Capture of CO<sub>2</sub> is an enabling technology



## Air capture eliminates all exceptions

No emission source remain exempt  
Separates sources from sinks

## Air capture can draw down CO<sub>2</sub>

Paying back carbon overdraft  
Requires vast CO<sub>2</sub> storage capacity

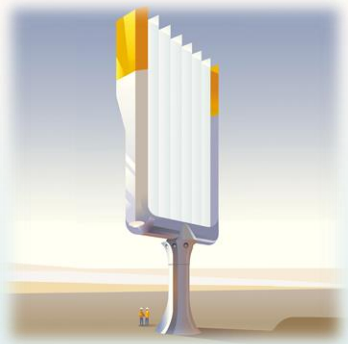
## Air capture enables non-fossil liquid fuels

Synthetic fuels from CO<sub>2</sub> and H<sub>2</sub>O  
Energy storage & liquid fuels  
Requires cheap non-fossil energy

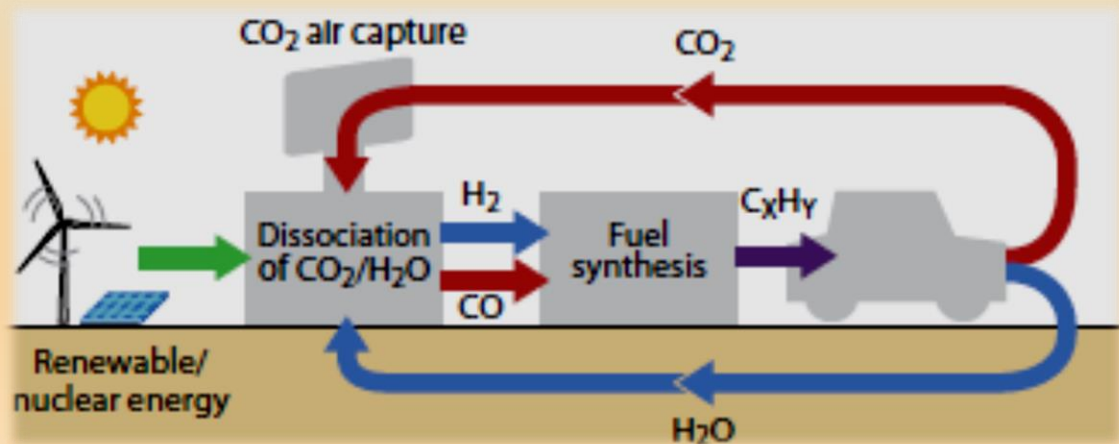
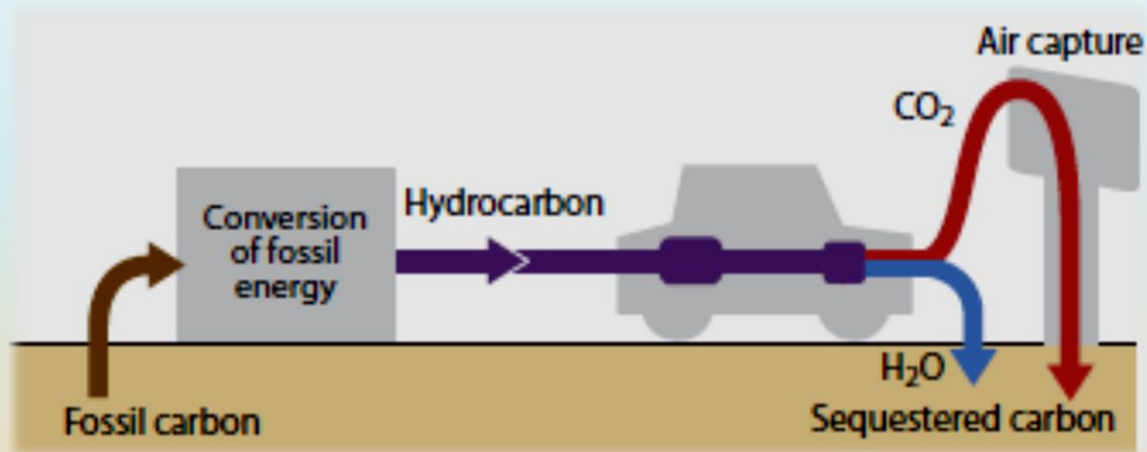
## Air capture enables fossil liquid fuels

Carbon use balanced by sequestration  
Requires cheap CO<sub>2</sub> storage

Markets will determine the balance between different options

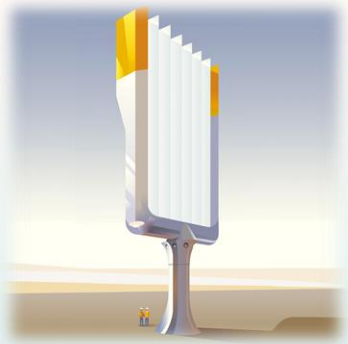


# Direct Air Capture balances the carbon budget through storage or fuel synthesis



Air capture devices are mechanical trees

- Thousand times faster than natural trees
- Collect current and past emissions
- Deliver CO<sub>2</sub> for disposal or fuel synthesis
- Can operate at global scale
- Air transports CO<sub>2</sub> for free
- No need for pipelines



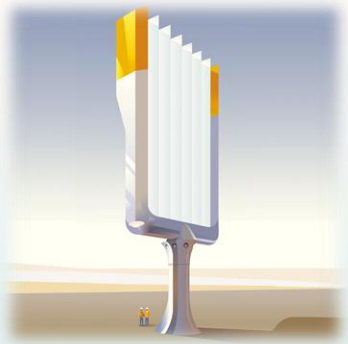
# Feasibility & Affordability?

$\text{CO}_2$  in air is dilute and air is full of water



- Sherwood's Rule suggests that costs scale linearly in dilution
- The air carries 10 to 100 times as much  $\text{H}_2\text{O}$  as  $\text{CO}_2$
- First-of-a-kind apparatus is expensive (APS study: \$600/t)

**Not a conventional separation technology**



# Avoiding Sherwood's Rule

Cost of separation scales linearly with dilution  $D$

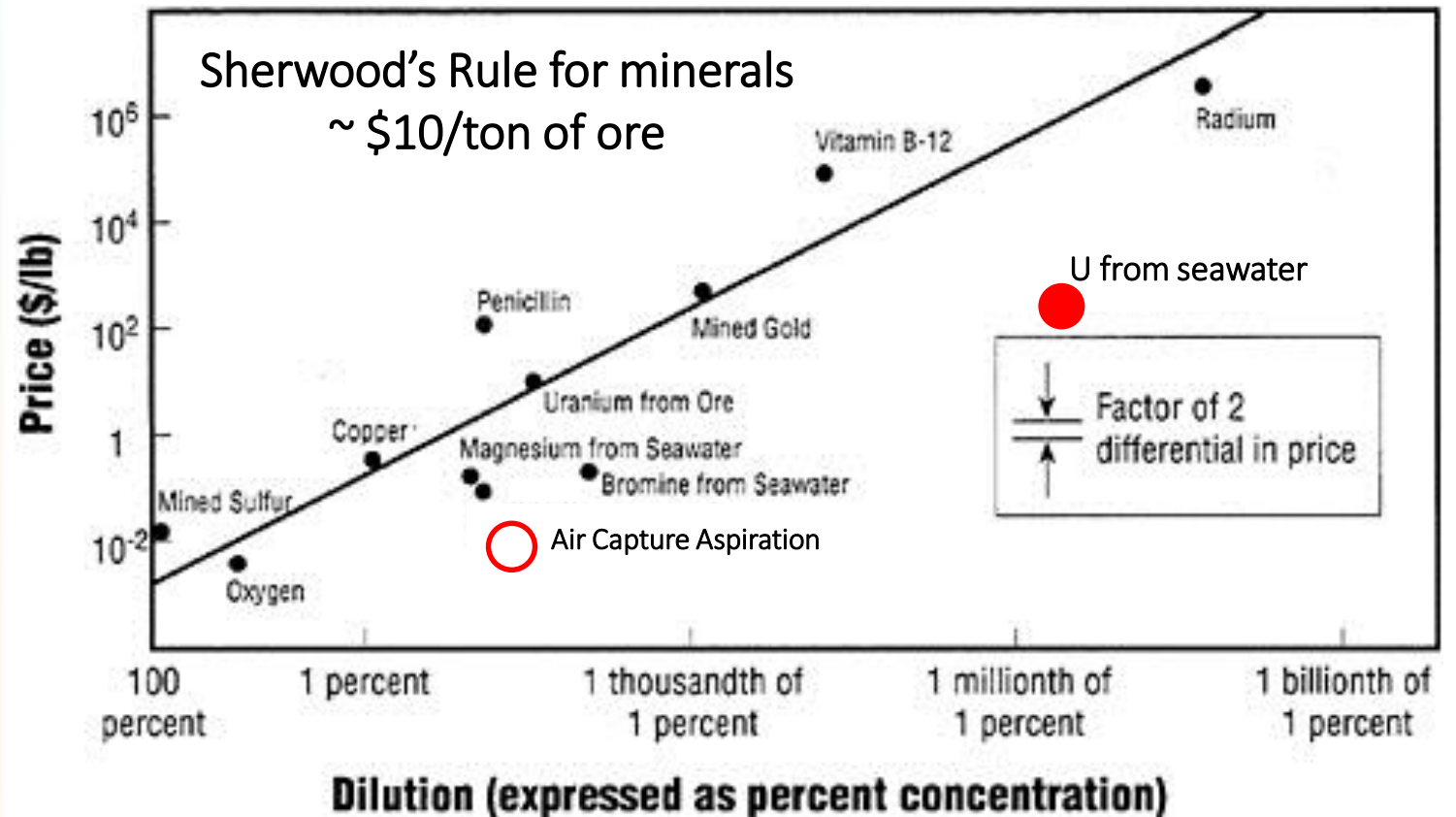
## Sherwood's Rule

The cost of the first step in the separation dominates

$$\text{Cost} = \underbrace{aD}_{\text{Bulk processing}} + \underbrace{b + c \log D}_{\text{Thermodynamic separation}}$$

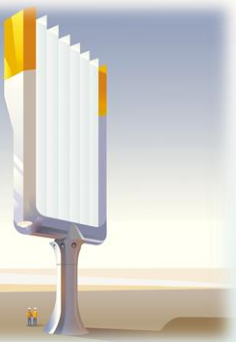
Bulk processing

Thermodynamic separation



SOURCE: National Research Council (1987)

# Wind energy – Air capture



Monoliths as low-pressure  
drop air filters



ASU small test unit



Wikipedia picture

Air collector reduces net CO<sub>2</sub>  
emissions much more than equally  
sized windmill

Extracting kinetic energy from a  
source of 20 J/m<sup>3</sup> is feasible



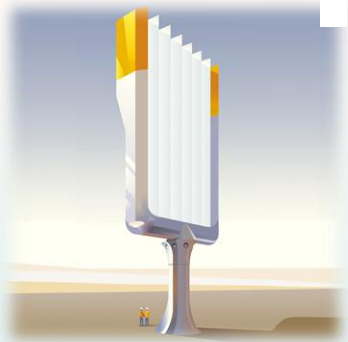
**Passive contacting  
of air is  
inexpensive**

artist's rendering

Image courtesy Stonehaven production

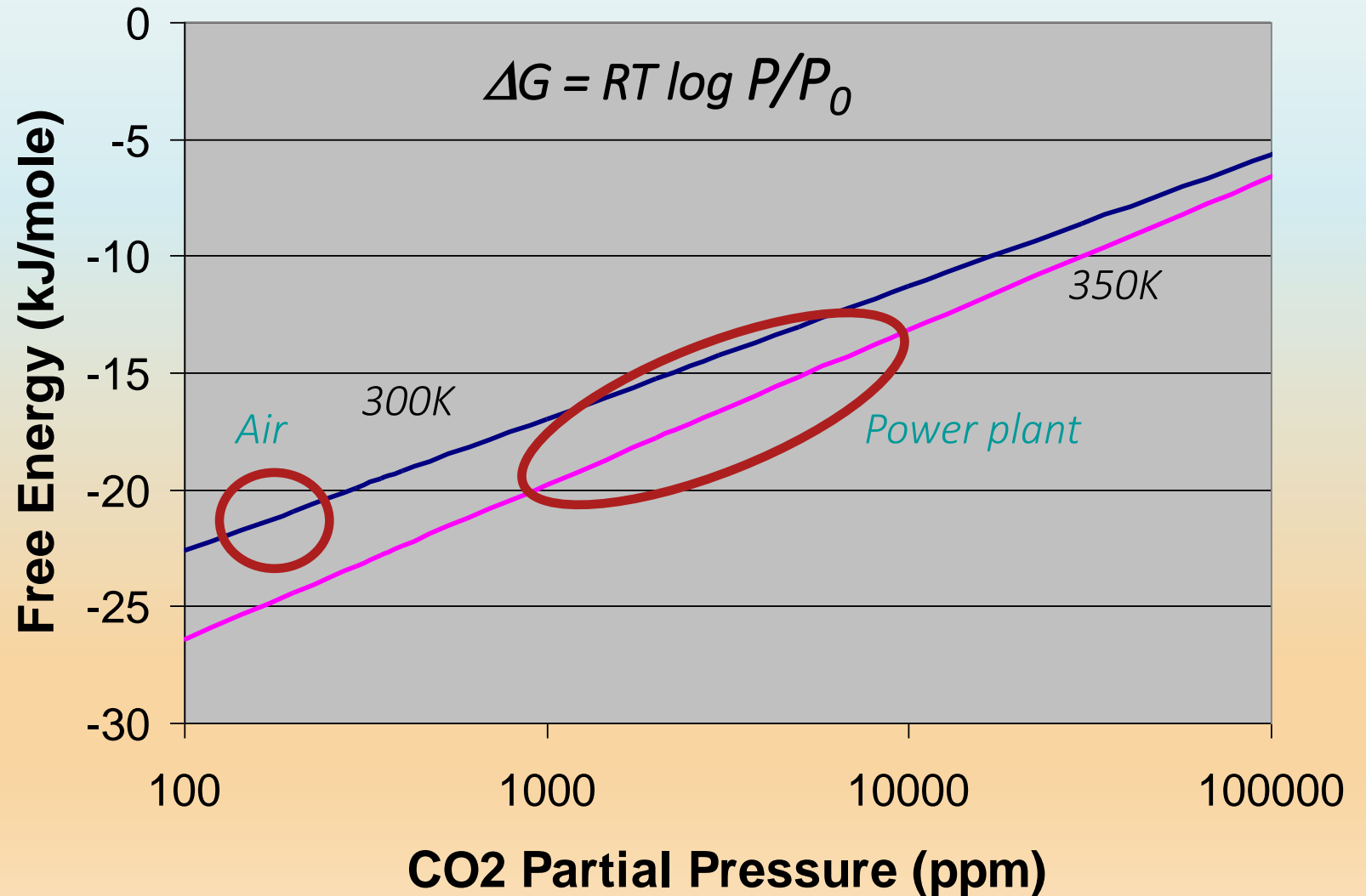
Wind energy  
~20 J/m<sup>3</sup>

CO<sub>2</sub> combustion  
equivalent in air  
10,000 J/m<sup>3</sup>

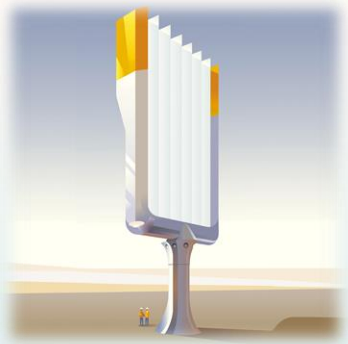


# Required Sorbent Strength

depends logarithmically on CO<sub>2</sub> concentration at collector exit



Sorbent  
regeneration  
dominates cost



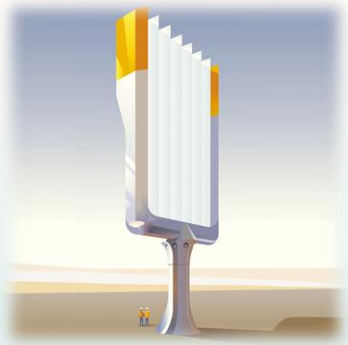
# Regenerator: Flue Gas Scrubbing – Air Capture



Sorbent regeneration slightly more difficult for air capture than for flue gas scrubbers



Dominant costs are similar for air capture and flue gas scrubbing



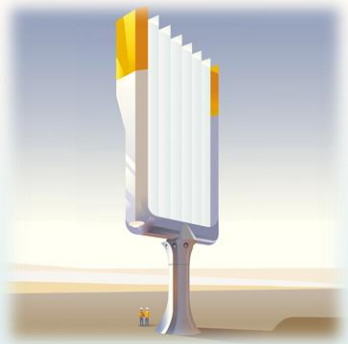
# Air Capture is Real

- Several start-ups have working prototypes
- Different approaches, different markets
- Gaining experience, demonstrating costs
- Establishing a new technology



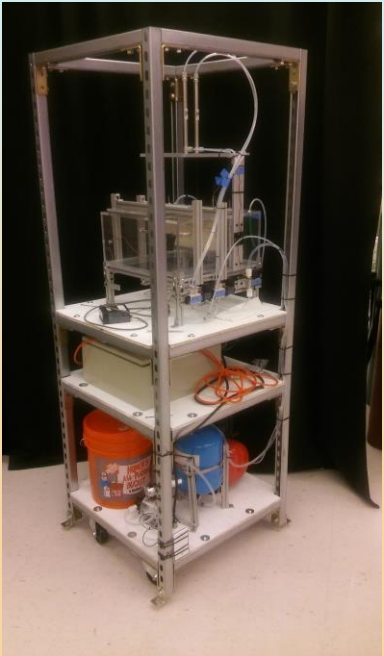
Research is proceeding at a number of universities  
ASU, Georgia Tech, Columbia University,  
ETH Zurich, Sheffield University, Zhejiang University, ...





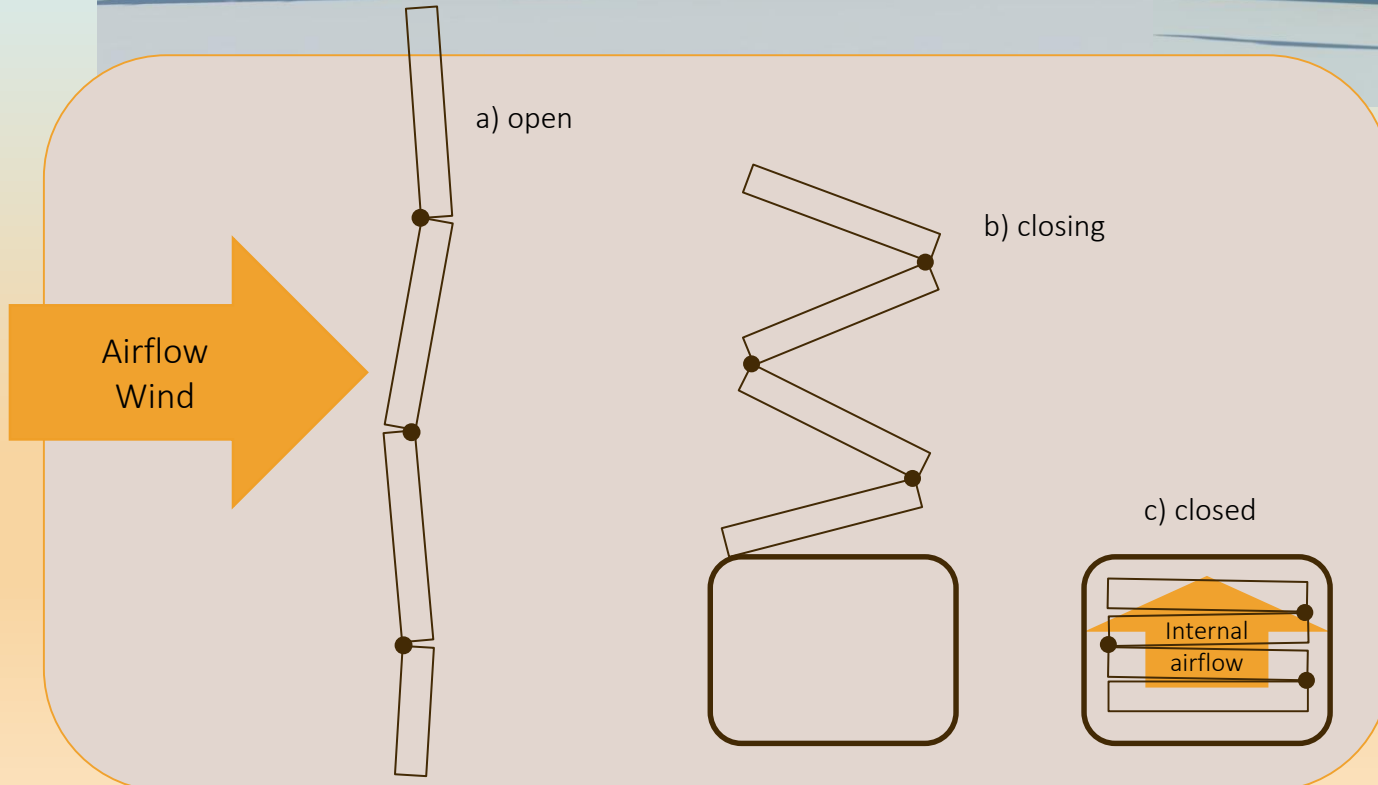
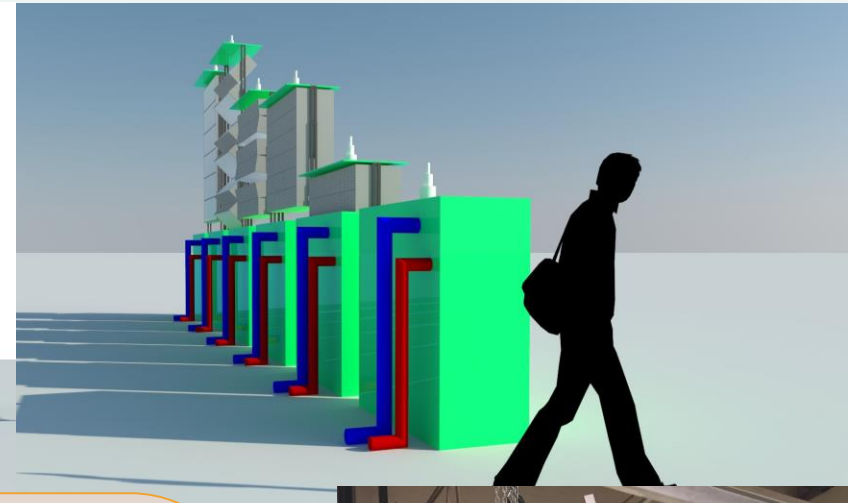
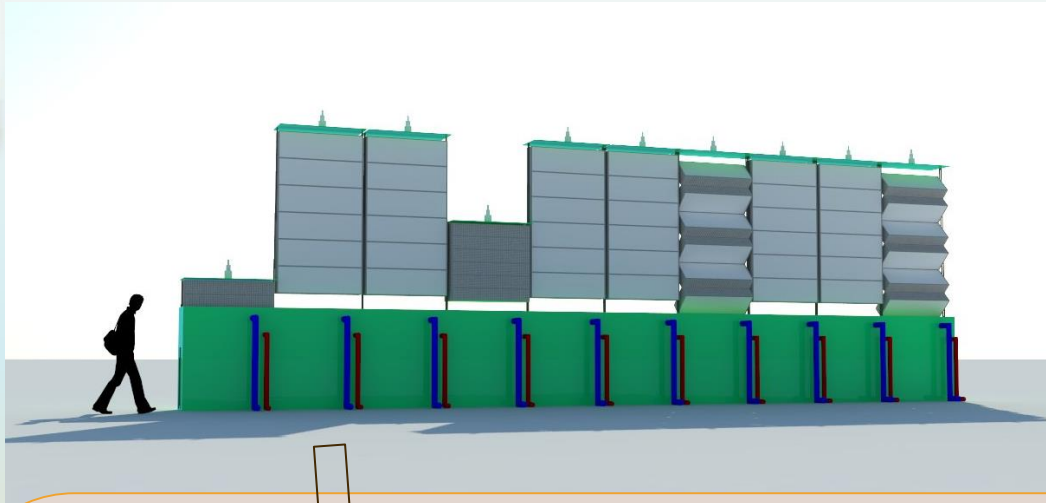
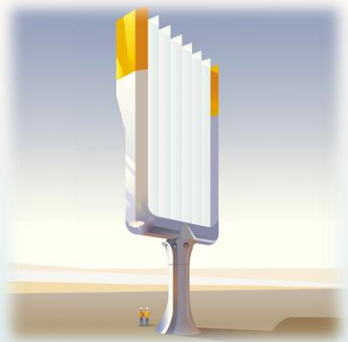
# ASU's air capture design

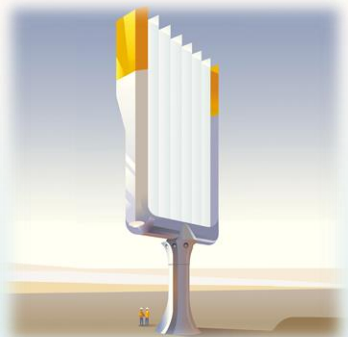
- Passive wind-driven design avoids Sherwood's objection
- Moisture controlled sorbent reduces energy consumption
- Mass production of small units drives costs down



Lessons are applied in a DOE project to feed CO<sub>2</sub> to algae

# Aggregate modules into sail-like structures

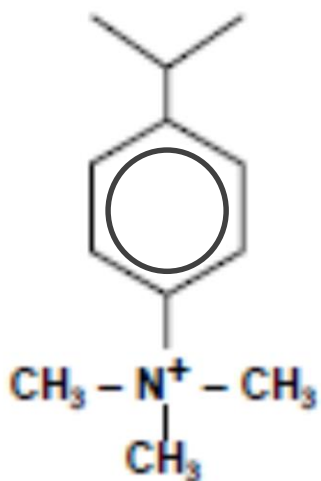




# Moisture Swing Sorbent for Low Energy Air Capture

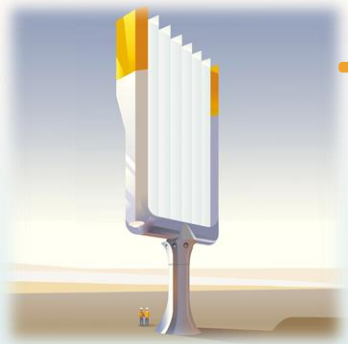
Anionic Exchange Resin: Solid carbonate “solution”  
Quaternary ammonium ions form strong-base resin

## Type I Strong Base Resins

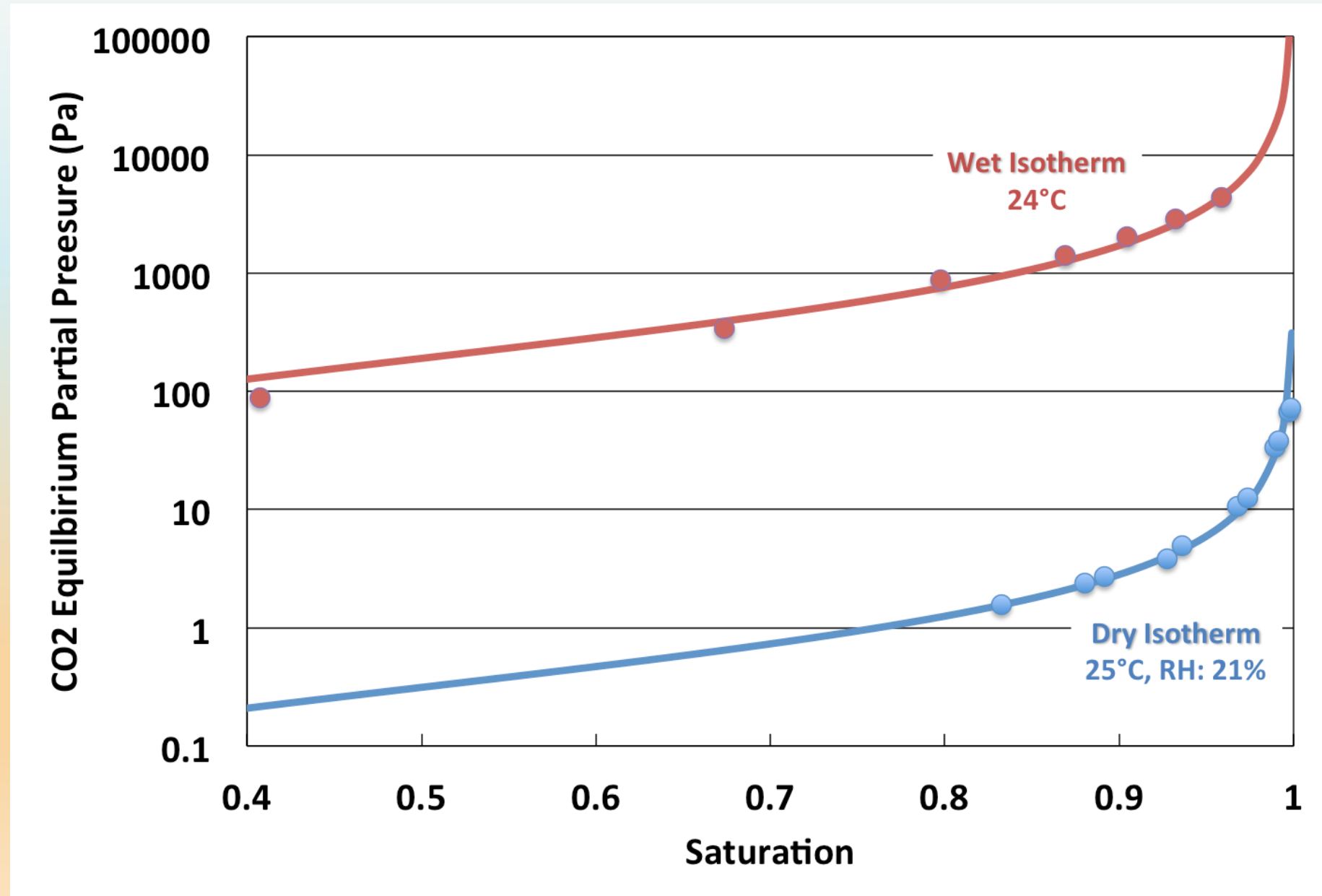


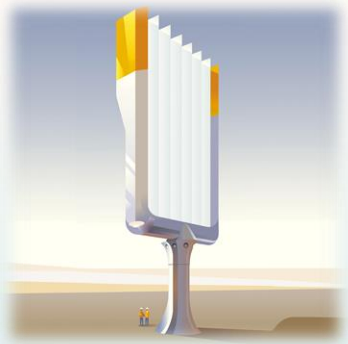
- Positive ions fixed to polymer matrix
  - Negative ions are free to move
  - Negative ions are hydroxides,  $\text{OH}^-$
- Dry resin loads up to bicarbonate
  - $\text{OH}^- + \text{CO}_2 \rightarrow \text{HCO}_3^-$  (hydroxide  $\rightarrow$  bicarbonate)
- Wet resin releases  $\text{CO}_2$  and unloads to carbonate
  - $2\text{HCO}_3^- \rightarrow \text{CO}_3^{2-} + \text{CO}_2 + \text{H}_2\text{O}$

Novel moisture driven  $\text{CO}_2$  swing



# The Moisture Swing





# How to move to scale?

Mass-produced factory-built one-ton-per-day units



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100 million units would eliminate current world emissions

**Rely on learning**

Mass production approach

**Find markets**

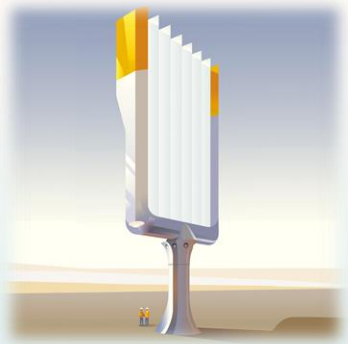
Small commercial niches

**Create value proposition**

Value is ultimately derived from cleanup

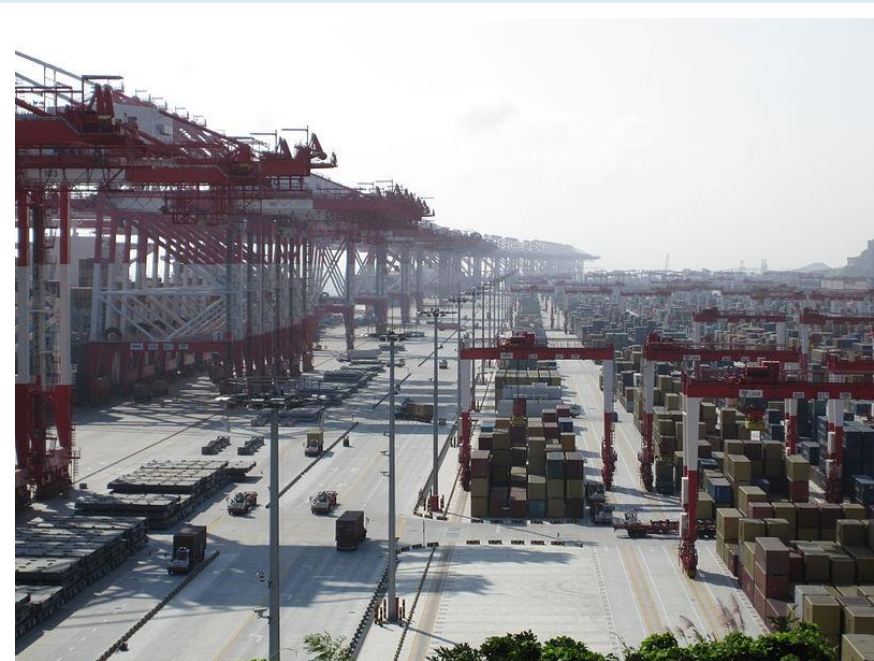
*Waste management paradigm*

**Technology can reach global scales with proper market incentives**



# Production Capacity

10 year life time implies a production capacity of 10 million per year

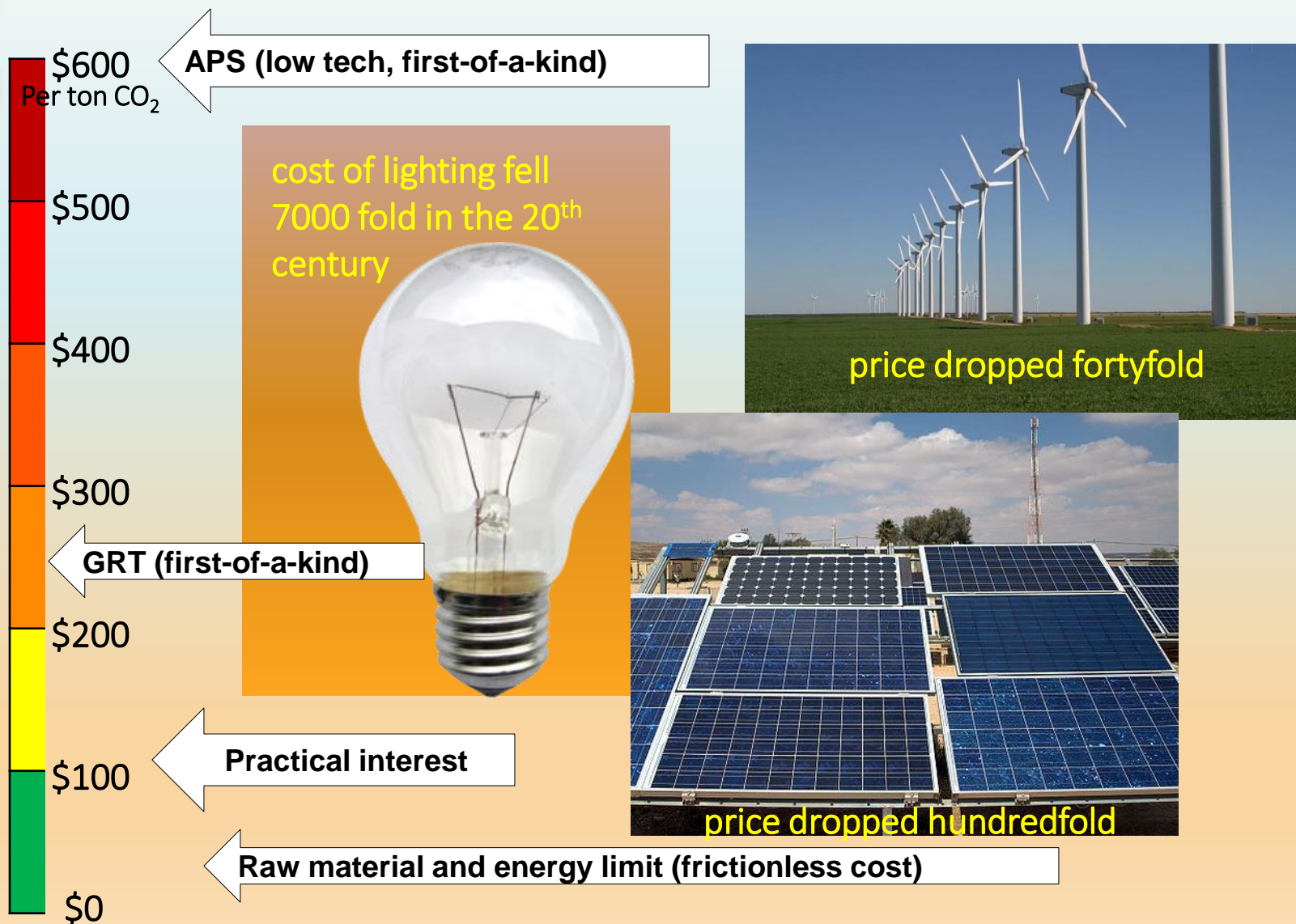


Shanghai harbor processes  
30 million full containers a year

World car and light truck production:  
80 million per year

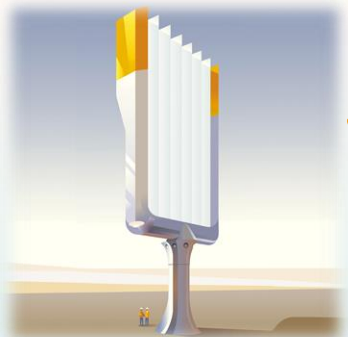


# Low cost comes with experience



The Power  
of the  
Learning  
Curve

Ingredient costs are already small — small units: low startup cost



# Value Propositions

## Voluntary repayment of carbon debt for individuals and sustainably minded-corporations

*This is how recycling became a business, how renewable energy is paid for  
Volunteers create a carbon price, regulatory policies will follow*

## Societal license to operate for carbon producers

*Without air capture, liquid fuels will have to be phased out*

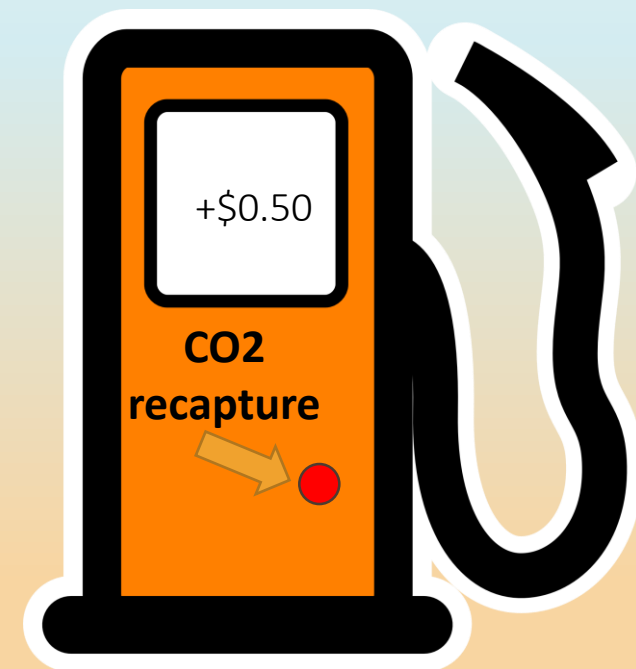
## Protecting assets in the ground

*Natural gas is not running out and a valuable resource*

## New business opportunity around waste management

*Waste management for garbage and sewage has been built into lucrative enterprises*

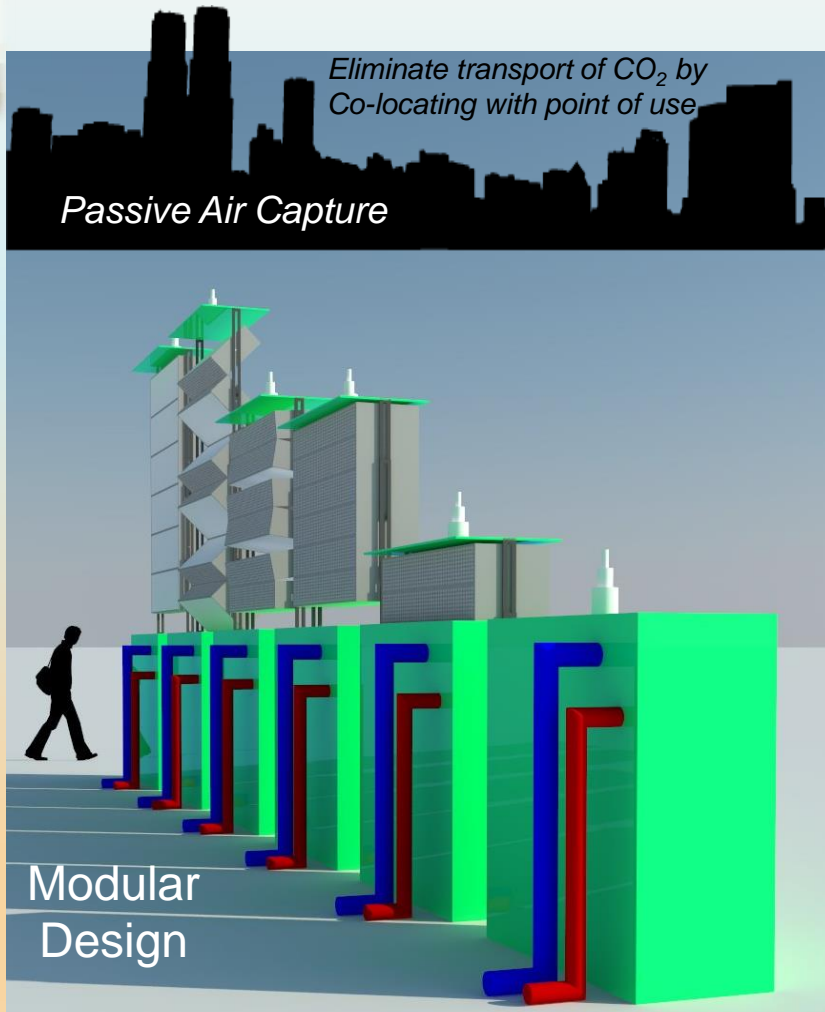
## Reducing future liabilities



Imagine a button at the pump to take back the 20 pounds of CO<sub>2</sub> emitted from a gallon of gasoline



# How to move forward?



- First-of-a-kind pilot to deliver concentrated CO<sub>2</sub> (98%)
- Cost target below \$100/ton
- One-ton-per-day demo-unit derisks technology

## Buy Back the Carbon Campaign

- Government funding is in doubt  
*Top down approaches have not worked*
- Immediate economic incentives for carbon reuse are small  
*Fossil carbon is always cheaper*
- Philanthropic outlook and volunteers can deliver results  
*Outreach, Education, Demonstration, Implementation*

## Leadership can kick-start the field of carbon management

- Establish in the minds of individuals and institutions the need to clean up excess carbon
- Create negative emissions
- Test and demonstrate small, nimble, affordable and scalable technologies, market mechanisms and policies
- Offer universities as testbeds